

IAP13 Rec'd PCT/PTO 21 SEP 2006 *PCT*

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THE NETHERLANDS



September 15, 2006

Re: United States Patent Application for:  
Polymerisation Initiator System  
Your Reference: MCM/P64127US00  
Our Docket No: 294-231 PCT/US

Dear Sirs:

Enclosed is a copy of a Formalities Letter issued by the United States Patent and Trademark Office in connection with the above-referenced application. The Formalities Letter requires that an oath or declaration by the inventors be filed. The deadline for filing a response to the Formalities Letter is November 5, 2006.

We are pleased to inform you that a response to the Formalities Letter was filed in the United States Patent and Trademark Office on September 14, 2006. The response included the necessary executed Combined Declaration and Power of Attorney documents you provided us in your letter dated December 2, 2005.

In addition, a Request for Recordation of Assignment Document was filed on September 14, 2006. Copies of these documents as filed are enclosed herewith for your records.

We will keep you advised of developments in this matter as they occur. In the meantime, if you have any questions or concerns regarding this matter, please do not hesitate to contact us.

Sincerely,

Linda D. Chin

LDC/dlg  
Enclosures  
226835\_1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                  |                                    |                 |                    |
|------------------|------------------------------------|-----------------|--------------------|
| Applicant(s)     | Asim Kumar Sarkar                  | Examiner:       | Unassigned         |
| Serial No.:      | 10/552,916                         | Group Art Unit: | Unassigned         |
| Confirmation No: | 4536                               | Docket:         | 294-231 PCT/US     |
| Filed:           | October 13, 2005                   | Dated:          | September 14, 2006 |
| For:             | POLYMERISATION<br>INITIATOR SYSTEM |                 |                    |

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

*I hereby certify this correspondence is being deposited with  
the United States Postal Service as first class mail, postpaid  
in an envelope, addressed to:  
Commissioner for Patents, P.O. Box 1450,  
Alexandria, Virginia 22313-1450  
on September 14, 2006*

Signed: \_\_\_\_\_

*Deana J. Beebe*

**RESPONSE TO FORMALITIES LETTER**

Sir:

In response to the Notification of Missing Requirements under 35 U.S.C. 371 in the  
United States Designated Elected Office, enclosed herewith are the following:

1. Copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the  
United States Designated Elected Office.
2. Executed Declaration and Power of Attorney document of the inventor.

Applicants do not believe any additional fees are due at this time. However, if any  
additional fees are due or an overpayment has been made, please charge Deposit Account No.

Applicants: Asim Kumar Sarkar  
Serial No.: 10/552,916  
Filing Date: October 13, 2005  
Page 2 of 2

08-2461 or credit the Deposit Account for such sum. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,



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Linda D, Chin  
Registration No.: 58,205  
Attorney for Applicants

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

|                             |                       |                  |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/552,916                  | Asim Kumar Sarkar     | 294-231 PCT/US   |

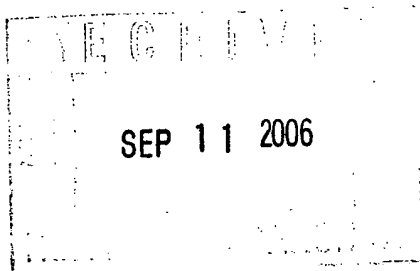
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|-------------------------------|
| INTERNATIONAL APPLICATION NO. |
|-------------------------------|

PCT/EP04/04130

|                  |               |
|------------------|---------------|
| I.A. FILING DATE | PRIORITY DATE |
|------------------|---------------|

04/15/2004

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CONFIRMATION NO. 4536

371 FORMALITIES LETTER



\*OC000000020298166\*

Date Mailed: 09/05/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/13/2005
- Copy of the International Search Report filed on 10/13/2005
- Copy of IPE Report filed on 10/13/2005
- Preliminary Amendments filed on 10/13/2005
- Information Disclosure Statements filed on 02/03/2006
- U.S. Basic National Fees filed on 10/13/2005
- Priority Documents filed on 10/13/2005
- Specification filed on 10/13/2005
- Claims filed on 10/13/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$.00 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$.00 for a Large Entity:

- \$.00 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAYA L LEWIS BALTIMORE

Telephone: (703) 308-9140 EXT 202

**PART 1 - ATTORNEY/APPLICANT COPY**

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/552,916                  | PCT/EP04/04130                | 294-231 PCT/US   |